

ANNEXURE I

Scenario 1 – Where multiple UCCs are allotted to a single PAN, across segments and are having multiple trade / create dates.

TM Code	PAN	UCC	SEGMENT	CREATE DATE	TRADE DATE	ACTION TAKEN BY EXCHANGE
00001	ABCDE1234J	XYZ1	CM	01-JAN-2018	01-JUL-2022	Marked as Closed and not permitted to Trade
00001	ABCDE1234J	XYZ2	FO	21-DEC-2020	10-JUL-2022	Marked Closed and not Permitted to Trade
00001	ABCDE1234J	XYZ3	CD	05-MAY-2019	12-JUL-2022	Permitted to Trade

As shown above, the trade date of UCC “**XYZ3**” under the CD segment is having the last trade date as **12-JUL-2022**, which is greater than the create and trade dates in other segments. Hence, UCC “XYZ3” in CD segment shall be allowed to remain active and permitted to trade.

Scenario 2 – Where multiple UCCs are allotted to a single PAN, of which one UCC has traded and other have not traded.

TM Code	PAN	UCC	SEGMENT	CREATE DATE	TRADE DATE	ACTION TAKEN BY EXCHANGE
00001	ABCDE1234J	XYZ1	CM	01-JAN-2018	01-JUL-2019	Marked as Closed and not permitted to Trade
00001	ABCDE1234J	XYZ1	FO	01-JAN-2018	01-JUL-2019	Marked as Closed and not permitted to Trade
00001	ABCDE1234J	XYZ1	CD	01-JAN-2018	01-JUL-2019	Marked as Closed and not permitted to Trade
00001	ABCDE1234J	XYZ2	CM	20-JUN-2022	Not traded	Permitted to Trade

00001	ABCDE1234J	XYZ2	FO	20-JUN-2022	Not traded	Permitted to Trade
00001	ABCDE1234J	XYZ2	CD	20-JUN-2022	Not traded	Permitted to Trade

As the create date (**20-JUN-2022**) of the UCC “**XYZ2**” is after the trade date, the same shall be kept active and will be permitted to trade.

Scenario 3 – Where multiple UCCs are allotted to a single PAN, and there are no trades observed.

TM Code	PAN	UCC	SEGMENT	CREATE DATE	TRADE DATE	ACTION TAKEN BY EXCHANGE
00001	ABCDE1234J	XYZ1	CM	01-JAN-2018	Not traded	Marked as Closed and not permitted to Trade
00001	ABCDE1234J	XYZ1	FO	01-JAN-2018	Not traded	Marked as Closed and not permitted to Trade
00001	ABCDE1234J	XYZ1	CD	01-JAN-2018	Not traded	Marked as Closed and not permitted to Trade
00001	ABCDE1234J	XYZ2	CM	20-JUN-2022	Not traded	Permitted to Trade
00001	ABCDE1234J	XYZ2	FO	20-JUN-2022	Not traded	Permitted to Trade
00001	ABCDE1234J	XYZ2	CD	20-JUN-2022	Not traded	Permitted to Trade

As the UCC “**XYZ2**” was created across the segments after the create date of UCC “XYZ1”, “XYZ2” will be allowed to remain active and permitted to trade.

Scenario 4 - Where multiple UCCs are allotted to single PAN, of which all have traded having same trade date

TM Code	PAN	UCC	SEGMENT	CREATE DATE	TRADE DATE	ACTION TAKEN BY EXCHANGE
00001	ABCDE1234J	XYZ1	CM	01-JAN-2018	01-JUL-2022	Marked as Closed and not

						permitted to Trade
00001	ABCDE1234J	XYZ1	FO	01-JAN-2018	01-JUL-2022	Marked as Closed and not permitted to Trade
00001	ABCDE1234J	XYZ1	CD	01-JAN-2018	01-JUL-2022	Marked as Closed and not permitted to Trade
00001	ABCDE1234J	XYZ2	CM	20-JUN-2022	01-JUL-2022	Permitted to Trade
00001	ABCDE1234J	XYZ2	FO	20-JUN-2022	01-JUL-2022	Permitted to Trade
00001	ABCDE1234J	XYZ2	CD	20-JUN-2022	01-JUL-2022	Permitted to Trade

As all the UCCs have traded across the segments on the same date and codes are the same, the latest create date will continue to remain active and will be Permitted to trade.

